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ATTORNEY DOCKET NO.: 049796-5001

11050 U.S. PTO
09/925558
08/10/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
David R. SCHWARTZ)	
)	
Application No.: Unassigned)	Group Art Unit: Unassigned
)	
Filed: August 10, 2001)	Examiner: Unassigned
)	
For: A METHOD AND SYSTEM FOR DYN-)	
MIC RISK ASSESSMENT, RISK MONI-)	
TORING, AND CASELOAD MANAGE-)	
MENT)	

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application and before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of each listed document is attached.

The following are listed on the accompanying PTO-1449 and are in a language other than English.

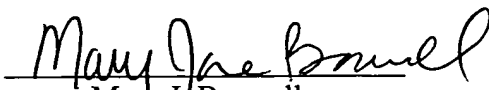
a. Japanese Patent Publication No. 2-228784. The relevance of this document is shown in the English-language abstract.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If it should be determined that the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP


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Date: August 10, 2001

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Attorney Docket No. 049796-5001		Application No. 09/925558 Unassigned	
				Applicant: David R. SCHWARTZ		08/10/01 PAGE 1 of 2	
				Filing Date: August 10, 2001		Group Art Unit: Unassigned	
PTO Form 1449							
U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Sub Class	Filing Date
		6,024,699	Feb. 15, 2000	Surwit et al.	600	300	Mar. 13, 1998
		6,049,819	Apr. 11, 2000	Buckle et al.	709	202	Jan. 13, 998
		6,054,928	Apr. 25, 2000	Lemelson et al.	340	573.4	Jun. 4, 1998
		6,088,732	Jul. 11, 2000	Smith et al.	709	229	May 29, 1998
		6,076,166	Jun. 13, 2000	Moshfeghi et al.	713	201	Jan. 17, 1997
		5,879,163	Mar. 9, 1999	Brown et al.	434	236	Jun. 24, 1996
		5,935,060	Aug. 10, 1999	Iliff	600	300	Jul. 11, 1997
		5,964,700	Oct. 12, 1999	Tallman et al.	600	300	Oct. 10, 1997
		5, 997, 476	Dec. 7, 1999	Brown	600	300	Oct. 7, 1997
		5,810,747	Sep. 22, 1998	Brudny et al.	600	595	Aug. 21, 1996
		5,839,438	Nov. 24, 1998	Graettinger et al.	128	630	Sep. 10, 1996
		5,596,994	Jan. 28, 1997	Bro	128	732	May 2, 1994
		5,598,510	Jan. 28, 1997	Castelaz	395	23	Oct. 18, 1993
		5,672,154	Sep. 30, 1997	Sillén et al.	604	50	Feb. 27, 1995
		5,701,400	Dec. 23, 1997	Amado	395	76	Mar. 8, 1995
		5,455,890	Oct. 3, 1995	Wang	395	22	Sep. 30, 1993
		5,263,123	Nov. 16, 1993	Hayashi	395	51	Mar. 11, 1991
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub Class	Translation YES NO
		2-228784	Sep. 11, 1990	Japan			abstract
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Nico Weymaere et al., "A Fast and Robust Learning Algorithm for Feedforward Neural Networks", Journal of the International Neural Network Society, No. 4, 1991, pp. 361-369.					
Examiner				Date Considered			
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) PTO Form 1449				Attorney Docket No. 049796-5001		Application No. Unassigned			
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				Filing Date: August 10, 2001		Group Art Unit: Unassigned			
U.S. PATENT DOCUMENTS									
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FOREIGN PATENT DOCUMENTS									
		Document Number	Date	Country	Class	Sub Class	Translation YES NO		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)									
		D.L. Hudson et al., "Fuzzy Logic in a Medical Expert System", IEEE Engineering in Medicine and Biology Magazine, Vol. 13, No. 5, pp. 273-283.							
		Darrell Whitley, "Applying Genetic Algorithms to Neural Network Learning", Proceedings of the Seventh Conference of the Society for the Study of Artificial Intelligence and Stimulation of Behavior, April 1989, pp. 137-144.							
		Hideyuki Takagi et al., "Artificial Neural Network-Driven Fuzzy Reasoning", International Workshop on Fuzzy System Applications. Aug. 20-24, 1988.							
		Jayadev Chowdhury, "Troubleshooting Comes Online in the CPI", Chem. Eng., Oct. 13, 1986, pp. 14-19.							
Examiner				Date Considered					
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